

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|---|------------|---------------|------------|-------------|--|----------------------------|-----------------|-------------------|---------------------------|---|---|---|---|--|---|--|--------------|
| McGranahan John Russell | | | | | Focus Financial Partners Inc. [FOCS] | | | | | | | | Director 10% Owner | | | | |
| (Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | | Officer (give title below) Other (specify below) | | | | |
| 875 THIRD AVENUE, 28TH FLOOR | | | | | | 12/7/2021 | | | | | | | General Counsel | | | | |
| | (Stre | eet) | | 4. I | f An | nendme | nt, Date C | Origina | ıl File | ed (MM/E | DD/YYYY |) 6. | . Individual o | or Joint/G | roup Filing (| Check Appl | icable Line) |
| NEW YORK, NY 10022 (City) (State) (Zip) | | | | | | | | | | | | _2 | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | , | Table I - | Non-Der | ivati | ve Seci | urities Ac | quire | d, Dis | sposed o | of, or B | enef | icially Owne | ed | | | |
| 1.Title of Security (Instr. 3) 2. Trans. D | | | | Execu | | 3. Trans. Co (Instr. 8) | c | or Disp | osed of (D 3, 4 and 5) |)) ` ´ | 5. Amount of Securit Following Reported (Instr. 3 and 4) | | | | 6. 7. Nature Ownership Form: Beneficial Direct (D) Ownership | | |
| | | | | | | | Code | V | Amour | (A) or (D) | r Price | | | | | | (Instr. 4) |
| | Tab | ole II - Deri | ivative So | ecurities l | Bene | eficially | Owned (| (<i>e.g.</i> , p | outs, | calls, wa | arrants | opt | tions, conver | tible secu | ırities) | | |
| Security Conversion Date Execution Code | | | Code | | | s Acquired isposed of | Expiration Date | | | 7. Title and An Securities Und Derivative Sec (Instr. 3 and 4) | | erlying urity | Derivative Security (Instr. 5) | Securities Beneficially Owned Following | Ownership Form of Derivative Security: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | V | (A) | (D) | Date Exercis | | Expiration Date | Title | | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Common Units in Focus Financial Partners, LLC | <u>(1)</u> | 12/7/2021 | | F (2) | | | 536 | <u>(1)</u> | 1 | <u>(1)</u> | Class Comm Stock | on | 536 | \$64.38 | 4518 ⁽³⁾ | D | |
| Common Units in Focus Financial Partners, LLC | <u>(4)</u> | | | | | | | <u>(4)</u> | 1 | <u>(4)</u> | Class Comm Stock | on | 100 | | 100 | D | |

Explanation of Responses:

- (1) As previously reported, on December 7, 2020, the Reporting Person acquired 5,054 unvested common units that vest in four equal installments on each anniversary of December 7, 2020. Vested common units are exchangeable (together with an equal number of shares of Class B common stock), subject to certain restrictions in the Fourth Amended and Restated Operating Agreement of Focus Financial Partners, LLC ("Focus LLC"), for (i) an equal number of shares of the Issuer's Class A common stock or, (ii) at the election of the Issuer, cash.
- (2) On December 7, 2021, 1,264 of the Reporting Person's unvested common units vested and 536 of such common units were withheld by the Issuer to satisfy the tax obligations upon vesting. The number of common units withheld was based on the closing price of the Issuer's Class A common stock on December 6, 2021.
- (3) As of the date of this report, 728 of these common units are vested and 3,790 of these common units are unvested.
- (4) Vested common units are exchangeable (together with an equal number of shares of Class B common stock), subject to certain restrictions in the Fourth Amended and Restated Operating Agreement of Focus LLC, for (i) an equal number of shares of the Issuer's Class A common stock or, (ii) at the election of the Issuer, cash.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|-----------------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| McGranahan John Russell | | | | | | | | |
| 875 THIRD AVENUE, 28TH FLOOR | | | General Counsel | | | | | |
| NEW YORK, NY 10022 | | | | | | | | |

Signatures

/s/ J. Russell McGranahan

12/9/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.