

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Chang Leonard R.				Fo	Focus Financial Partners Inc. [ FOCS ]							(	•	piicabic)	100		
(Last) (First) (Middle)				3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)							Director10% Owner  X Officer (give title below) Other (specify below)					
875 THIRD AVENUE, 28TH FLOOR				3	12/22/2022							S	Sr. Managing Dir/Head of M&A				
	(Stre				lf An	nendme	nt, Date C	rigin	al Fil	ed (MM/D	D/YYYY	) 6	. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
NEW YORK, NY 10022 (City) (State) (Zip)											_	X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
		,	Гable I -	Non-Der	ivati	ive Sec	urities Ac	quire	ed, D	isposed o	of, or Bo	nef	icially Own	ed			
1. Title of Security (Instr. 3) 2. Trans.				Trans. Date			3. Trans. Co (Instr. 8)		or Disposed of (D)		Foll	ollowing Reported Transaction(s) Ownership Form: Birect (D) Ownership Of Indi Benefi Direct (D)			Beneficial Ownership		
							Code	v	Amo	ount (A) or (D) Price				or Indirect (Instr. 4)	(Instr. 4)		
	Tab	ole II - Deri	vative S	ecurities	Bene	eficially	Owned (	e.g.,	puts,	calls, wa	arrants	op	tions, conve	rtible seci	ırities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	xercise e of ivative		4. Trans Code (Instr. 8	8) Derivati Acquire Dispose		ve Securities and I		d Expiration Date Securi Deriva		7. Title a Securitie Derivativ (Instr. 3 a	s Un e Se	curity	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned	Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security	ccurry		Code	V	(A)	(D)	Date Exerc	cisable	Expiration Date	Title		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Common Units in Focus Financial Partners, LLC	(1)	12/22/2022		F (2).			1926	,	<u>(1)</u>	(1)	Class Comn Stock	on	1926	\$37.71	13609 (3)	D	
Common Units in Focus Financial Partners, LLC	(4)							,	<u>(5)</u>	<u>(5)</u>	Class Comn Stock	on	5572		5572 <sup>(5)</sup>	D	
Common Units in Focus Financial Partners, LLC	<u>(4)</u>							į.	<u>(6)</u>	<u>(6)</u>	Class Comn Stock	on	14126		14126 (6)	D	

## **Explanation of Responses:**

- (1) As previously reported, on December 22, 2021, the Reporting Person acquired 15,535 unvested common units that vest in four equal installments on each anniversary of December 22, 2021. Vested common units are exchangeable (together with an equal number of shares of Class B common stock), subject to certain restrictions in the Fourth Amended and Restated Operating Agreement of Focus Financial Partners, LLC ("Focus LLC"), for (i) an equal number of shares of the Issuer's Class A common stock or, (ii) at the election of the Issuer, cash.
- (2) On December 22, 2022, 3,884 of the Reporting Person's unvested common units vested and 1,926 of such common units were withheld by the Issuer to satisfy the tax obligations upon vesting. The number of common units withheld was based on the closing price of the Issuer's Class A common stock on December 21, 2022.
- (3) As of the date of this report, 1,958 of these common units are vested and 11,651 of these common units are unvested.
- (4) Vested common units are exchangeable (together with an equal number of shares of Class B common stock), subject to certain restrictions in the Fourth Amended and Restated Operating Agreement of Focus LLC, for (i) an equal number of shares of the Issuer's Class A common stock or, (ii) at the election of the Issuer, cash.
- (5) These common units will vest in four equal installments on each anniversary of December 7, 2020. As of the date of this report, 2,203 of these common units are vested and 3,369 of these common units are unvested.
- (6) These common units will vest in four equal installments on each anniversary of December 12, 2022.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Chang Leonard R.						
875 THIRD AVENUE, 28TH FLOOR			Sr. Managing Dir/Head of M&A			

NEW YORK, NY 10022				
	•	•	•	
Signatures				

/s/ J. Russell McGranahan as Attorney-in-Fact

12/22/2022

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.